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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/757,827

01/15/2004

Michael R. Rosen

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06/27/2011

Evans & Molinelli PLLC

P.O. Box 7027

Fairfax Station, VA 22039

EXAMINER

SINGH, ANOOP KUMAR

ART UNIT

PAPER NUMBER

1632

MAIL DATE

DELIVERY MODE

06/27/2011

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<p align="center">Advisory Action Before the Filing of an Appeal Brief</p>	Application No. 10/757,827	Applicant(s) ROSEN ET AL.
	Examiner ANOOP SINGH	Art Unit 1632

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 08 June 2011 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. ☒ The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a) ☒ The period for reply expires 4 months from the mailing date of the final rejection.
b) ☐ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. ☐ The Notice of Appeal was filed on _____. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

AMENDMENTS

3. ☒ The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because
(a) ☒ They raise new issues that would require further consideration and/or search (see NOTE below);
(b) ☒ They raise the issue of new matter (see NOTE below);
(c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
(d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).

4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
5. ☐ Applicant's reply has overcome the following rejection(s): _____.
6. ☐ Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
7. ☒ For purposes of appeal, the proposed amendment(s): a) ☒ will not be entered, or b) ☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.
The status of the claim(s) is (or will be) as follows:
Claim(s) allowed: _____.
Claim(s) objected to: _____.
Claim(s) rejected: 20,49,51,57,59 and 65-71.
Claim(s) withdrawn from consideration: _____.

AFFIDAVIT OR OTHER EVIDENCE

8. ☐ The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).
9. ☐ The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

REQUEST FOR RECONSIDERATION/OTHER

11. ☒ The request for reconsideration has been considered but does NOT place the application in condition for allowance because:
See Continuation Sheet.
12. ☐ Note the attached Information *Disclosure Statement(s)*. (PTO/SB/08) Paper No(s). _____
13. ☐ Other: _____.

/Anoop Singh/
Primary Examiner, Art Unit 1632

Continuation of 3. NOTE: The proposed amendment to independent claims 20 and 65 that include a negative limitation "wherein the MSC is not incorporated with a nucleic acid which encodes a connexin" raises new issues that requires new consideration for new matter and art purposes. It is relevant to point out that a review of instant specification indicates that there is no explicit support for excluding connexin gene. In fact, contrary to applicants' assertions, instant specification directly supports cells that include connexin gene by stating "[e]xpress connexins in stem cells, stem cells transfected with pacemaker genes, or stem cell derived cardiogenic cell line(s)" (see paragraph 143 of the published application). The amendments with new limitations changes the breadth of proposed claims and therefore requires new search and consideration for art purposes and new matter. Therefore, proposed claim amendments have not been entered.

Continuation of 11. does NOT place the application in condition for allowance because: The Examiner maintains the rejection of claims 20, 49, 51, 57, 59, 65-70 and 71 for the reasons of record. To the extent arguments apply to the pending claims, applicant arguments filed on 06/08/2011 have been fully considered but they are not fully persuasive, because the proposed claim amendments have not been entered and require new consideration as stated above. The rejection of claims 20, 49, 51, 57, 59, 65-70 and 71 are maintained for the reasons of record as applicant's arguments all rely on the not entered claim amendments.

Claims 20, 49, 51, 57, 59, 65-70 and 71 remain rejected under 35 U.S.C. 103(a) as being unpatentable over Feld et al (US patent no 7294333, dated 11/13/2007, filed on 10/20/2000), Lee et al (Molecular Therapy, 2001, 857-866, IDS, hereafter Lee 1), Lee et al. (USP 7,494,644, dated 2/24/2009, effective filing date 11/7/2002, art of record, hereafter Lee 2), and Qu et al (Circulation res. 2001, 89:e8-14, IDS) for the reasons of record. The rejection of claims are maintained as applicant's arguments (MSC is not incorporated with a nucleic acid encoding connexin) all rely on the not entered claim amendments.